

Radiologic features of pneumonitis associated with nivolumab in non-small-cell lung cancer and malignant melanoma

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Aim: To assess the clinical features/imaging characteristics of pneumonitis reported during nationwide nivolumab postmarketing surveillance in Japan. **Patients & methods:** Clinical and radiological data were collected from pneumonitis cases reported during/after nivolumab treatment for melanoma or non-small-cell lung cancer. The expert central review committee evaluated each case. **Results:** Among 144 cases analyzed, 91 (63.2%) had radiological patterns considered typical for drug-induced pneumonitis and 53 (36.8%) patients had previously unobserved patterns with one or more atypical features, including 23 cases (16.0%) with ground glass opacity confined to the area around the tumor (peritumoral infiltration). A higher proportion of patients with (vs without) peritumoral infiltration had an antitumor response to nivolumab. **Conclusion:** Images of nivolumab-induced pneumonitis showed previously unobserved radiological patterns.

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Keywords: immune checkpoint inhibitor • interstitial lung disease • nivolumab • pneumonitis • PD-1 inhibitor

Therapeutic interventions for the treatment of cancer, including conventional chemotherapeutic drugs and molecular-targeted agents, have the potential to cause interstitial lung disease (ILD) or pneumonitis; molecular-targeted agents are among the most common ILD-inducing drugs [1,2]. Patients with drug-induced ILD can present precipitously with acute diffuse alveolar damage (DAD), which can be fatal [1].

Nivolumab, a fully human IgG4 monoclonal antibody, targets PD-1 (PD-1), one of the T-cell surface membrane receptors [3]. Nivolumab was first approved in Japan in 2014 for the treatment of unresectable malignant melanoma; given its demonstrated antitumor activity, nivolumab is also used widely for other malignancies, including lung cancer [3,4]. Nivolumab-induced pneumonitis was reported in 4.6% (6/131) and 3.5% (10/287) of patients, respectively, in the Phase III CheckMate 017 and CheckMate 057 trials in patients with non-small-cell lung cancer

(NSCLC) [3,4]. This is consistent with rates of 3.6 and 4.1% reported for meta-analyses of PD-1 inhibitors in NSCLC [5,6].

Recently, a new type of pneumonitis associated with PD-1 axis inhibitors has been reported as peritumoral inflammation in some patients [7]. Kato *et al.* analyzed the clinical and radiological features of nivolumab-associated pneumonitis in Phase II clinical trials [8]; and Naidoo *et al.* reported pneumonitis in patients treated with anti-PD-1/PD-L1 therapy [9]. However, these patients account for only small numbers from clinical trials without comorbidities and with good performance status. With such small patient counts within clinical trials, there was consensus that a larger real-world study could provide additional information. Therefore, we analyzed the clinical features and imaging characteristics of pneumonitis reported during a nationwide postmarketing surveillance (PMS) program of nivolumab in Japan.

Methods

Cases reported by physicians as ILD or pneumonitis during or after nivolumab treatment for melanoma or NSCLC through the end of November 2016 were identified from nationwide PMS data on nivolumab in Japan. The study complied with Japanese Good Post-Marketing Study Practice. Clinical and radiological data were obtained for each patient. For inclusion in the analysis, patients had to have evaluable computed tomography (CT) data available from the time of pneumonitis onset. Patients who had received an epidermal growth factor receptor-tyrosine kinase inhibitor (EGFR-TKI) after nivolumab were excluded, in order to rule out EGFR-TKI-induced ILD. The ILD Expert Central Review Committee (ECRC) consisted of eight chest radiologists, four pulmonologists specializing in diffuse lung disease and four pulmonary oncologists.

For each case, using pretreatment CT data, two radiologists independently evaluated the presence of interstitial pneumonia (IP) and honeycombing, level of emphysema, percent of normal lung field and preceding radiation pneumonitis/fibrosis. Using CT data at the time of ILD onset, the radiologists evaluated the presence and distribution of ground glass opacity (GGO), consolidation, reticulation and traction bronchiectasis, and determined the validity of ILD in new diffuse infiltration. CT patterns of ILD were classified, according to the ATS/ERS international multidisciplinary classification of IP, as acute interstitial pneumonia (AIP)/DAD-like pattern, hypersensitivity pneumonia (HP)-like pattern, cryptogenic organizing pneumonia (COP)-like pattern, nonspecific interstitial pneumonia (NSIP)-like pattern or others [10]. Using CT data at follow-up, the radiologists evaluated the outcome of ILD and determined the nivolumab antitumor effect. Two pulmonologists/pulmonary oncologists independently determined the validity of ILD, based on symptoms and physical findings, clinical course, laboratory data (including WBC, CRP, LDH, KL-6, BNP, CEA and others), results of bacteriological examination and findings from bronchoalveolar lavage (BAL). After independent evaluation by the radiologists, pulmonologists and pulmonary oncologists, the ECRC discussed the results and determined the validity of ILD after reaching consensus among all members.

A 'typical' pattern of pneumonitis images referred to findings similar to those commonly appearing during treatment with conventional chemotherapy or molecular-targeted drugs: GGO or consolidation showing nonsegmental distribution bilaterally or dominant in the lung contralateral to the tumor [11]. Initial evaluation by the ECRC found some atypical pneumonitis cases which were also added to the evaluation. 'Atypical' features described: GGO confined to the area around the tumor (peritumoral infiltration; PTI); GGO or consolidation around radiation fibrosis consistent with the radiation field (exacerbation of radiation fibrosis); diffuse pulmonary infiltration radiologically resembling IP with exacerbation of subclinical infection (intensified infections); and abnormal opacities largely confined to the lung ipsilateral to the tumor (ipsilateral to the tumor).

Data were summarized using number of patients and percentages, and mean \pm standard deviation (SD) values.

Results

A comprehensive review of 195 pneumonitis cases was completed by 27 November 2016 and the analysis of these cases is reported here.

A causal relationship of pneumonitis with nivolumab could not be ruled out for 160 of the 195 reviewed cases. Of these, 144 patients with CT data available at pneumonitis onset and not receiving an EGFR-TKI after nivolumab, were included in the analysis (Figure 1). The general characteristics of patients with pneumonitis are summarized in Table 1. The mean age of the population was 66.6 years, the majority (82.6%) were male and most (89.6%) had NSCLC. Most patients (79.2%) had received 1–3 treatment lines and 42.4% had previously received radiotherapy. The mean time to ILD after the first dose of nivolumab was 47.3 ± 64.4 days.

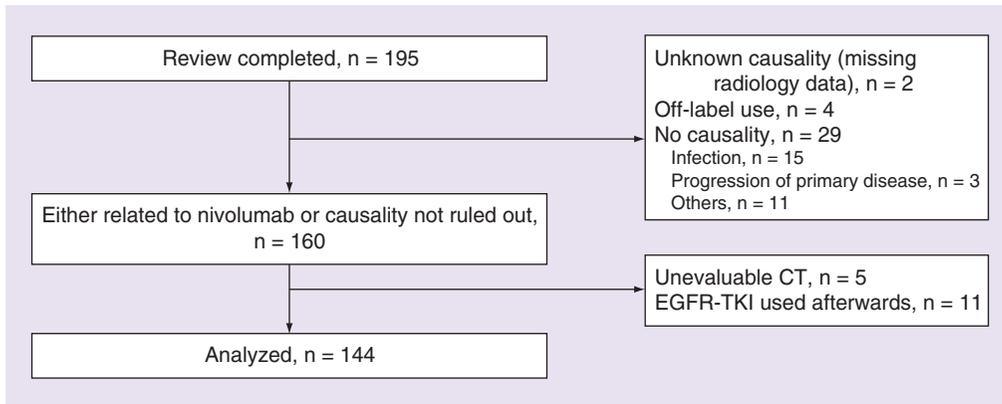


Figure 1. Flow diagram of pneumonitis cases.
EGFR-TKI: Epidermal growth factor receptor-tyrosine kinase inhibitor.

Table 1. Patient characteristics.

Characteristic	Total N = 144	PTI N = 23	Non-PTI N = 121	Significant difference (p-value)
Age (years)	66.6 ± 9.47	66.6 ± 8.64	66.6 ± 9.65	N.S. (0.9980) †
Sex				N.S.
– Male	119 (82.6)	19 (82.6)	100 (82.6)	(0.9967) ×
– Female	25 (17.4)	4 (17.4)	21 (17.4)	
Smoker				N.S.
– None	29 (20.1)	3 (13.0)	26 (21.5)	(0.4326) ×
– Former	103 (71.5)	19 (82.6)	84 (69.4)	
– Current	12 (8.3)	1 (4.3)	11 (9.1)	
Cancer type				–
– Advanced NSCLC	129 (89.6)	23 (100)	106 (87.6)	
– Adenocarcinoma	61 (47.3) †	13 (56.5) †	48 (45.3) †	
– Squamous cell carcinoma	52 (40.3) †	8 (34.8) †	44 (41.5) †	
– Other/unknown	16 (12.4) †	2 (8.7) †	14 (13.2) †	
– Malignant melanoma	15 (10.4)	0 (0)	15 (12.4)	
Treatment line				N.S.
– 0–1	58 (40.3)	8 (34.8)	50 (41.3)	(0.9976) w
– 2–3	56 (38.9)	11 (47.8)	45 (37.2)	
– 4–5	18 (12.5)	2 (8.7)	16 (13.2)	
– ≥6	10 (6.9)	1 (4.3)	9 (7.4)	
– Unknown	2 (1.4)	1 (4.3)	1 (0.8)	
Previous radiation	61 (42.4)	13 (56.5)	48 (39.7)	N.S.
				(0.1338) ×
CTCAE grade of ILD				N.S.
– 1–2	48 (33.3)	9 (39.1)	39 (32.2)	(0.1470) w
– 3–5	56 (38.9)	5 (21.7)	51 (42.1)	
– Unknown	40 (27.8)	9 (39.1)	31 (25.6)	
Days to ILD after initial dose of nivolumab	47.3 ± 64.7	32.9 ± 29.7	50.2 ± 69.3	N.S.
				(0.2418) †

Data are n (%) or mean ± SD.
 † Data are expressed as a percentage of all NSCLC cases.
 t: t-test; w: Wilcoxon rank-sum test; X: χ^2 test.
 CTCAE: Common Terminology Criteria for Adverse Event; ILD: Interstitial lung disease; N.S.: Not statistically significant; NSCLC: Non-small-cell lung cancer; PTI: Peritumoral infiltration.

Among the 144 cases analyzed, 91 (63.2%) had radiological patterns that were considered typical for drug-induced pneumonitis associated with chemotherapy or molecular-targeted drugs, while 53 (36.8%) had patterns that included one or more atypical features. Among patients with atypical features, 23 (16.0%) had PTI, four (2.8%) had signs of intensified infection (one case each of nontuberculous mycobacteria and *Pseudomonas aeruginosa* and two cases of pneumocystis pneumonia [PCP]), 23 (16.0%) had exacerbation of radiation fibrosis and 23 (16.0%) had opacities confined to the lung ipsilateral to the tumor. Figure 2 shows CT images illustrating the range of observed pneumonitis patterns.

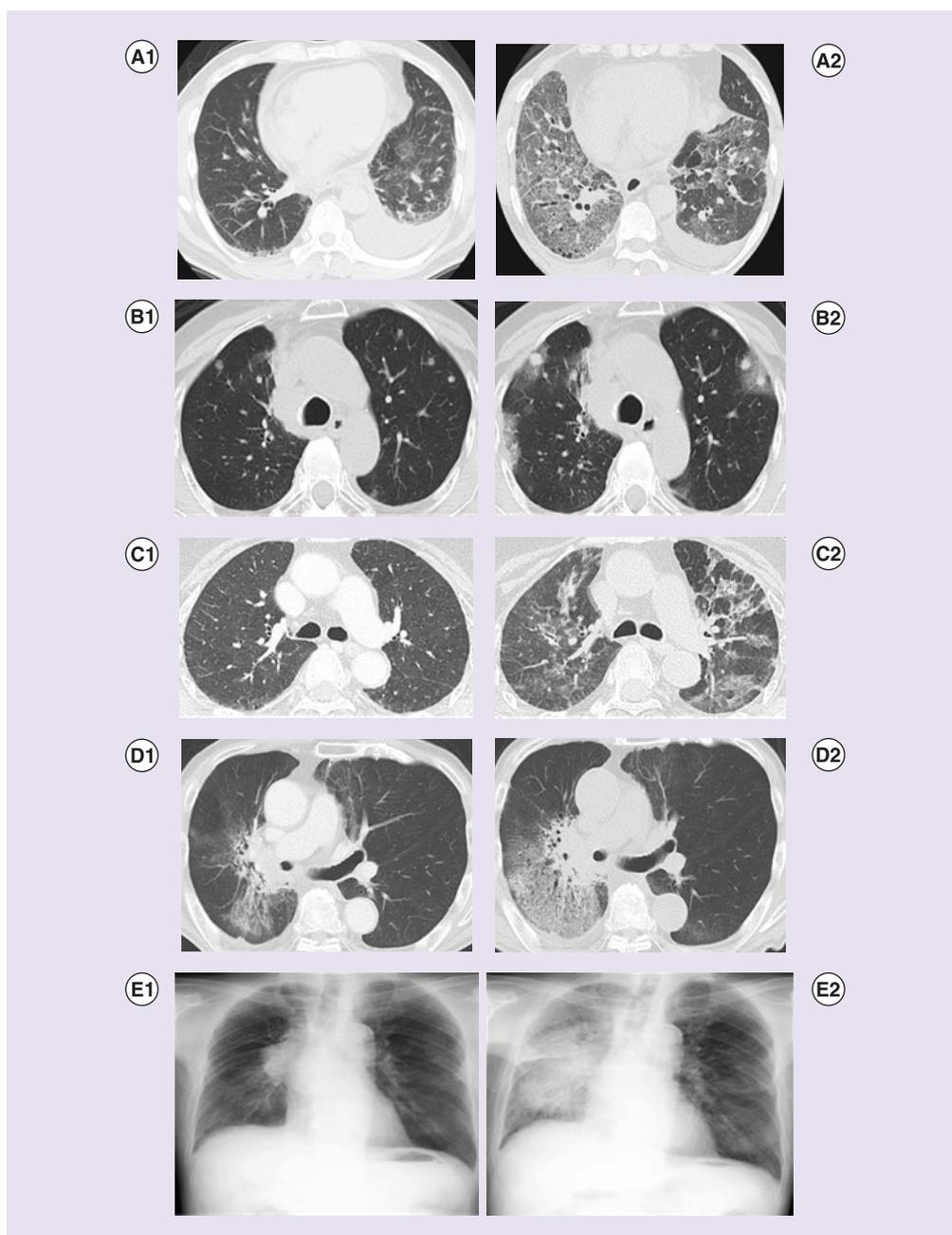


Figure 2. Representative radiological manifestations of nivolumab-associated pneumonitis. (A1), (B1), (C1), (D1), (E1), (F1) are CT images before nivolumab treatment. (A2), (B2), (C2), (D2), (E2), (F2) are CT images at the onset of pneumonitis. (A1) and (A2), pneumonitis with AIP/DAD like pattern in a 57-year-old male with squamous lung cell carcinoma. Ground glass opacities (GGO) appeared in almost all the lung field. Distorted interlobular septa, pleura and vessels indicated diffuse alveolar damage. (B1) and (B2), peritumoral infiltration (PTI) in a 70-year-old female with lung adenocarcinoma. GGO surrounding tumors, so-called PTI, appeared after nivolumab treatment. (C1) and (C2), pattern similar to intensified infection, in a 72-year-old female with melanoma. Onset of interstitial lung disease occurred during the night of the day of the first dose of nivolumab. GGO with a mosaic appearance before treatment, and high beta-D-glucan level (157 pg/ml) at the onset of pneumonitis, suggested pneumocystis pneumonia. This case resulted in a fatal outcome. (D1) and (D2), pattern similar to exacerbation of radiation fibrosis. CT scan before nivolumab treatment was 50 months after the completion of right hilar radiotherapy. Nivolumab reactivated previous radiation fibrosis. (E1) and (E2), infiltration in the ipsilateral lung field in a 74-year-old male with squamous cell lung carcinoma. Consolidation appeared in the ipsilateral lung field with right lung carcinoma.

Table 2. Chest computed tomography findings before nivolumab treatment and at the time of onset of nivolumab-associated interstitial lung disease, and the pattern of interstitial lung disease.

	Total N = 144	PTI N = 23	Non-PTI N = 121	Significant difference (p-value)
CT findings before nivolumab treatment				
Interstitial pneumonia				
None	92 (63.9)	21 (91.3)	71 (58.7)	‡(0.0017) ^w
– Mild	35 (24.3)	1 (4.3)	34 (28.1)	
– Moderate	9 (6.3)	0 (0)	9 (7.4)	
– Severe	3 (2.1)	0 (0)	3 (2.5)	
– Unknown	5 (3.5)	1 (4.3)	4 (3.3)	
Honeycombing	10 (7.0)	0 (0)	10 (8.3)	N.S. (0.1511) ^x
Normal lung field <50% of total lung field	12 (8.4)	1 (4.3)	11 (9.1)	N.S. (0.4451) ^x
Emphysema				
Mild	35 (24.3)	4 (17.4)	31 (25.6)	‡(0.0139) ^w
– Moderate	26 (18.1)	2 (8.7)	24 (19.8)	
– Severe	8 (5.6)	0 (0)	8 (6.6)	
– Unknown	2 (1.4)	0 (0)	2 (1.7)	
Laboratory values at onset of nivolumab-associated ILD				
WBC (10 ³ /μL)	10.3 ± 7.58	9.12 ± 5.34	10.5 ± 7.94	N.S. (0.4091) ^t
CRP (mg/dL)	8.62 ± 7.66	6.73 ± 6.27	8.97 ± 7.87	N.S. (0.2111) ^t
KL-6 (U/ml)	1143 ± 1210	1044 ± 937	1160 ± 1254	N.S. (0.6994) ^t
CT findings at onset of nivolumab-associated ILD				
Ground glass opacity	141 (97.9)	23 (100)	118 (97.5)	
Consolidation	84 (58.3)	12 (52.2)	72 (59.5)	
Reticulation	34 (23.6)	5 (21.7)	29 (24.0)	
Traction bronchiectasis	23 (16.0)	4 (17.4)	19 (15.7)	
Pleural effusion	39 (27.1)	9 (39.1)	30 (24.8)	
Distribution				
– Bilateral	83 (57.6)	15 (65.2)	68 (56.2)	
– Contralateral to the tumor	28 (19.4)	3 (13.0)	25 (20.7)	
– Ipsilateral to the tumor	23 (16.0)	5 (21.7)	18 (14.9)	
– Not applicable [†]	10 (6.9)	0 (0)	10 (8.3)	
Overall pattern of ILD				
AIP/DAD-like pattern	19 (13.2)	1 (4.3)	18 (14.9)	N.S. (0.5073) ^x
HP-like pattern	35 (24.3)	5 (21.7)	30 (24.8)	
COP-like pattern	68 (47.2)	12 (52.2)	56 (46.3)	
NSIP-like pattern	12 (8.3)	2 (8.7)	10 (8.3)	
Others	10 (6.9)	3 (13.0)	7 (5.8)	

Data are n (%).
[†]No tumors in any lung lobes.
[‡]p < 0.05, PTI vs Non-PTI t: t-test w: Wilcoxon rank-sum test X: χ^2 test.
 AIP/DAD: Acute interstitial pneumonia/diffuse alveolar damage; COP: Cryptogenic organizing pneumonia; HP: Hypersensitivity pneumonitis; ILD: Interstitial lung disease; N.S.: Not statistically significant; NSIP: Nonspecific interstitial pneumonia; PTI: Peritumoral infiltration.

Clinical and radiological parameters were evaluated for the overall study population and for the with/without PTI subgroups. There were no notable differences in general patient characteristics between subgroups (Table 1).

Table 2 summarizes chest CT findings before nivolumab treatment and at the time of onset of nivolumab-associated pneumonitis, along with the overall patterns of ILD. Approximately a third of patients (N = 52) had evidence of IP before nivolumab treatment, generally described as mild. The proportion of patients with no IP was numerically higher in the PTI than in the non-PTI subgroup (91.3 vs 59.2%). At the onset of nivolumab-associated pneumonitis, the most common CT findings overall and across the two subgroups, were GGO and consolidation. Findings were mostly distributed across both lung fields. The most common ILD pattern in the overall study

Table 3. Outcomes: pneumonitis outcome and tumor response.

	Total N = 144	PTI N = 23	Non-PTI N = 121	Significant difference (p-value)
Pneumonitis outcome				
Resolved/Resolving	110 (76.4)	22 (95.7)	88 (72.7)	†(0.0171) ^w
Unchanged	10 (6.9)	1 (4.3)	9 (7.4)	
Exacerbated	23 (16.0)	0 (0)	23 (19.0)	
Unknown	1 (0.7)	0 (0)	1 (0.8)	
ILD-associated death	25 (17.4)	1 (4.3)	24 (19.8)	N.S. (0.0723) ^x
Tumor response to nivolumab treatment				
Complete response	0 (0)	0 (0)	0 (0)	†(0.0025) ^w
Partial response	41 (28.5)	12 (52.2)	29 (24.0)	
Stable disease	49 (34.0)	9 (39.1)	40 (33.1)	
Disease progression	36 (25.0)	1 (4.3)	35 (28.9)	
Unknown/not determined	18 (12.5)	1 (4.3)	17 (14.0)	

Data are n (%).
 †p < 0.05, PTI vs non-PTI w: Wilcoxon rank-sum test X: χ^2 test.
 ILD: Interstitial lung disease; N.S.: Not statistically significant; PTI: Peritumoral infiltration.

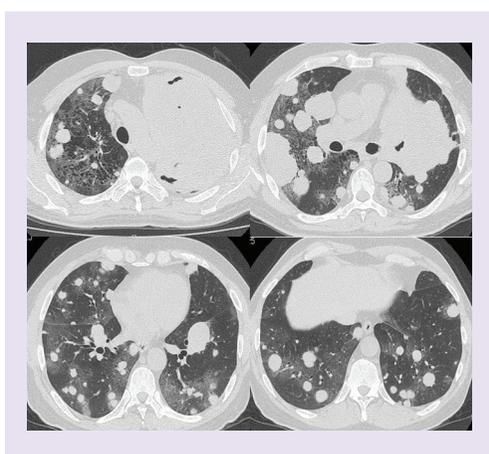


Figure 3. Fatal case of peritumoral infiltration. Fifty-nine year-old male with squamous cell lung carcinoma. Five days after initial nivolumab treatment, ground glass opacities appeared around the tumor. Peritumoral infiltration surrounding large and multiple tumors caused acute respiratory failure and death.

population was COP. Images consistent with AIP/DAD were identified in 13.2% of 144 patients and one of 23 patients (4.3%) with PTI.

BAL was performed in 15 cases. The median proportion of lymphocytes in the BAL fluid was 35.8% (range: 1.3–76.8%).

Of 121 patients without PTI, 114 were treated with corticosteroids, while seven did not receive corticosteroids. Nivolumab was discontinued in all patients with PTI and they received corticosteroids. The outcome of pneumonitis was reported as resolved/resolving for 76.4% of patients overall, with a numerically higher proportion reported for patients with PTI (95.7%) than for those without PTI (72.7%) (Table 3). Fatality rates due to ILD were 1/23 (4.3%) for the PTI subgroup (Figure 3) and 24/121 (19.8%) for the non-PTI subgroup. Thirteen of the 19 patients presenting with an AIP/DAD like pattern died in association with ILD (all without PTI).

The tumor response to nivolumab treatment among patients with pneumonitis is summarized in Table 3. The rate of disease progression was numerically lower in the subgroup with PTI compared with the non-PTI subgroup.

Discussion

This analysis of PMS data found that patients treated with nivolumab had observed cases of pneumonitis with PTI and showed patterns similar to those seen with exacerbation of radiation fibrosis and intensified infection, and abnormal opacities almost completely confined to the lung ipsilateral to the tumor, in addition to the typical ILD pattern observed with conventional cytotoxic chemotherapy and EGFR-TKIs.

PTI was characterized as increased GGO that developed in a short period of time and responded well to steroids. Although pathological evidence was not available in this study, lymphocytosis in BAL fluid and good response to steroids indicate an immune response mainly consisting of lymphocyte infiltration, as described previously [2]. Because patients with PTI had a good antitumor response to nivolumab compared with non-PTI patients, PTI development may involve an antitumor immune response. A relationship between the antitumor effect of nivolumab and vitiligo associated with nivolumab treatment has been reported in melanoma patients [12]. In patients with melanoma, it has been reported that increased peritumoral PD-1 expression and immune cell infiltration at pre-, on- and post-administration of nivolumab were associated with the antitumor effect [13]. In another report, biopsy of lung consolidations surrounding the tumor in a patient with metastatic melanoma to the lung detected pathological organizing pneumonia; moreover, the tumor was reduced after nivolumab treatment [14], signaling an immunological response.

Radiation pneumonitis generally occurs within 6 months of completing irradiation treatment [15], subsiding spontaneously or after steroid therapy, resulting in a fibrous lesion with collapse. Some anticancer drugs trigger the relapse of radiation pneumonitis after it has subsided, so-called 'radiation recall pneumonitis' [16]. In the current study, opacity was observed after nivolumab treatment in an area consistent with the radiation field. This radiation recall pneumonitis improved immediately upon steroid therapy, indicating immune cell infiltration. Some patients developed nivolumab-induced opacities in the radiated chest fields at 50 months after completing irradiation (compared with the usual 6-month time frame), suggesting that this may be a nivolumab-specific phenomenon [17]. Since recent advances in radiotherapy have enabled multidirectional irradiation, prior radiotherapy may complicate the assessment of nivolumab-induced pneumonitis.

Nivolumab aggravated existing nontuberculous mycobacteriosis or *P. aeruginosa* infection and may have caused inflammation of subclinical PCP infection in some patients. In the HIV setting, infection immunity that has recovered after HIV treatment can cause immune reconstitution inflammatory syndrome (IRIS) associated with occult infections with organisms such as *Pneumocystis jirovecii* [18]. As nivolumab activates tumor immunity by inhibiting lymphocyte PD-1, it may also activate infection immunity simultaneously; the aggravation of occult infection observed in the current study is likely to be a similar response to that seen in IRIS. A similar phenomenon can occur in HIV-negative immunodeficient patients [19]. Although this pathology is not usually classified as drug-induced pneumonia, it was included in our report because of difficulty in distinguishing intensified infection from drug-induced pneumonia, and also from a drug safety perspective, as it resulted in death in a few cases.

When chemotherapy-induced pneumonia occurs asymmetrically, it is generally confined to the lung contralateral to the tumor. Although the mechanism is unknown, a relationship with the mobility of the chest wall is suggested [20]. In this study, opacity confined to the ipsilateral lung was observed after nivolumab treatment. This may indicate that PTI appears extensively in the ipsilateral lung, ipsilateral intralobar metastasis that is not revealed on CT, or carcinomatous lymphangitis.

CT image patterns consistent with AIP/DAD were identified in 14.9 and 4.3% of patients without and with PTI, respectively. Two-thirds of the patients presenting with an AIP/DAD-like pattern died in association with ILD. Such patients require careful monitoring because they have a higher risk of death than patients with other ILD patterns.

In the present study, 17.4% of patients died of nivolumab-associated ILD, a higher proportion than that reported by Delaunay *et al.* (9.4%) [2]. The relatively lower incidence of ILD reported in preceding studies may be attributed to the fact that most studies analyzed patients with preserved performance status in clinical trial settings, with many clinical studies excluding patients with underlying ILD. In the present study, CT performed prior to nivolumab treatment detected ILD in 32.7% of patients. Underlying ILD is known to increase the risk of ILD-associated death in gefitinib-treated patients [21] and may also be the case in nivolumab-treated patients. Analysis of the remaining cases of pneumonitis identified during PMS is ongoing and risk factors for pneumonitis and death after the onset of pneumonitis will be reported elsewhere.

This analysis has several limitations. Although data came from an all-patient survey using a specific case report form, the survey was based on spontaneous reporting by attending physicians and did not necessarily include all patients who experienced pneumonitis. ILD was assessed using chest CT imaging and no pathological evidence was available. Tumor response data were only collected and evaluated by the ECRC from chest CT images, and not evaluated based on RECIST guidelines. Recently, combination therapy with immune checkpoint inhibitors and cytotoxic chemotherapy, or two types of immune checkpoint inhibitors, has been approved, but this analysis did not include such patients.

Conclusion

Images of nivolumab-induced ILD showed atypical ILD patterns not observed with previous systemic therapy, which included PTI, changes similar to those seen with exacerbation of radiation-induced fibrosis and intensified infection, and abnormal opacities confined to the same lung as the tumor. PTI showed a good response to steroid treatment, suggesting that immunopotentialiation is a potential cause of immune checkpoint inhibitor-associated pneumonitis. Clinicians should look for features unique to nivolumab-associated pneumonitis, in addition to the typical features of conventional drug-induced pneumonitis.

Summary points

- A new type of pneumonitis associated with immune checkpoint inhibitors, such as nivolumab, has recently been reported. However, these previous reports covered a small number of selected patients, including those without comorbidities and with good performance status.
- We assessed the clinical features and imaging characteristics of pneumonitis reported during 'real world' nationwide postmarketing surveillance of nivolumab in Japan.
- Among 144 cases analyzed, 91 (63.2%) had radiological patterns considered typical for drug-induced pneumonitis (i.e., commonly observed during treatment with conventional chemotherapy or molecular-targeted drugs: ground glass opacity [GGO] or consolidation showing nonsegmental distribution bilaterally or dominant in the lung contralateral to the tumor).
- However, the other 53 (36.8%) patients had previously unobserved patterns that included one or more atypical features: GGO confined to the area around the tumor, named 'peritumoral infiltration' (PTI: 23 cases [16.0%]), intensified infection (four [2.8%]), exacerbation of radiation fibrosis (23 [16.0%]) and abnormal opacities largely confined to the ipsilateral lung (23 [16.0%]).
- Patients with PTI showed a good response to corticosteroid treatment. The outcome of pneumonitis was reported as resolved/resolving for 76.4% of patients overall (PTI: 95.7%; without PTI: 72.7%).
- A higher proportion of patients with PTI than without PTI had a favorable antitumor response to nivolumab.
- In summary, images of nivolumab-induced pneumonitis showed previously unobserved radiological patterns. PTI may indicate a response to the tumor.

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