



Air pollution and *in utero* programming of poor fetal growth

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Poor fetal growth is associated with adverse postnatal health outcomes. In the newborn period, compared with well-grown infants, those small-for-gestational age (typically defined as less than 10th percentile [1]) are at higher risk of mortality and morbidities including difficulties with glucose homeostasis and thermoregulatory control [2]. They are also more likely to require neonatal intensive care [2]. Subsequently, small-for-gestational age infants are predisposed to hypertension and neurodevelopmental delays and disabilities [2,3]. The etiology of poor fetal growth is multifactorial. Maternal conditions such as pre-eclampsia, infections such as cytomegalovirus and toxoplasmosis, maternal malnutrition and exposures to environmental pollutants such as lead, can all lead to infants being born smaller than their genetic potential [1,4,5].

Maternal cigarette smoking in pregnancy represents one of the most preventable causes of poor fetal growth [6]. Air pollution, which contains many of the same compounds found in cigarette smoke including fine particulate matter smaller than five microns in diameter (PM_{2.5}), has been shown to increase the risk of many of the same conditions caused by smoking including lung cancer and cardiovascular disease [7]. Further, air pollution exposure in pregnancy is associated with lower birth weight for gestational age [8]. How air pollution affects fetal growth

is incompletely understood, but new insights into how the fetal epigenome responds to cigarette smoke may provide clues as to how air pollution may affect the developing fetus.

Smoking & DNA methylation

DNA methylation results in differences in phenotype in the absence of DNA sequence variation by regulating transcription. Typically, heavily methylated regions of DNA, usually in promoters, are inaccessible to transcription factors and thus gene expression of these regions is suppressed. Several studies in adults have demonstrated that cigarette smoking results in reproducible DNA methylation differences in blood, specifically demethylation in the promoter of *AHRR*, a gene involved in regulating detoxification processes [9]. Using the Illumina 450K Methylation Beadchip array that measures DNA methylation of over 450,000 CpG sites across the genome, these groups report demethylation of one particular CpG site within *AHRR*, cg05575921, as most commonly and most significantly associated with smoking. This finding is so consistent that DNA methylation of this site has been proposed as a potentially clinically useful biomarker of smoking, particularly as it reflects smoking status (current, former and never smokers), number of cigarettes smoked, years of smoking and – for former smokers – years since quitting [9]. The University of Iowa and its



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investigators have filed intellectual property right claims as well as for a patent of this site as a potentially marketable product to screen for smoking (US Patent 8,637,652) [10].

Of particular interest to perinatal researchers is that maternal smoking in pregnancy also affects the DNA methylation of *AHRR* in offspring cord blood. Joubert and colleagues performed a meta-analysis of 6685 infants from 13 cohorts [11]. The same CpG site in the *AHRR* gene (cg05575921) was the site most significantly associated (p -value = 1.64×10^{-193}) with maternal smoking. These findings support the hypothesis that maternal exposures may affect fetal development through epigenetic processes including DNA methylation.

Air pollution & DNA methylation

Whether less potent but more ubiquitous exposures, such as air pollution, affect DNA methylation or other epigenetic processes *in utero* is less well established, but plausible. Air pollution, while somewhat distinct from cigarette smoke, shares several of the same components and is associated with many of the same adverse health conditions caused by cigarette smoke [7], including impaired fetal growth [8]. There is also evidence that air pollution *in utero* can affect infant DNA methylation. Herbstman and colleagues demonstrated in a cohort of 164 mother–infant pairs that maternal personal exposure to polycyclic aromatic hydrocarbons, one marker of diesel exhaust, was associated with infant cord blood global hypomethylation [12]. This finding is consistent with our group's work demonstrating that exposure to black carbon is associated with rapid decrease in DNA methylation in repetitive elements in blood DNA [13].

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Studies of air pollution and fetal growth as well as air pollution and DNA methylation have been primarily observational. These studies may suffer from residual confounding by coexposure to variation in socioeconomic conditions, diet, physical activity and genetics. However, using a double-blind cross-over study of healthy adult volunteers, our group exposed participants for 130 min to concentrated fine and coarse particulate matter (PM) and to control medical gas (filtered room air), and then measured blood DNA methylation responses to each of the conditions [14]. There were 2 weeks between exposures, which were randomized with respect to order. After exposure to fine concentrated ambient particles, participants

had both significantly lower repetitive element DNA methylation (Alu) and higher systolic blood pressures compared with control gas exposure. These findings confirm that air pollution (at least in the short term) can have effects on DNA methylation and physiologic consequences in adults. The blood pressure finding is particularly relevant when extrapolating to pregnancy because hypertension in pregnancy is associated with decreased fetal growth. Theoretically, the vasoactive properties of PM_{2.5} might mediate placental insufficiency from air pollution leading to both impaired fetal growth and fetal programming through DNA methylation.

Research gaps & future of air pollution & perinatal epigenomics

One of the most challenging issues faced by air pollution researchers focused on human health is that the doses of PM and gases to which individuals are exposed are much smaller than what is inhaled during active cigarette smoking. Some studies, such as those done by Pope and colleagues, have compared the effects of PM_{2.5} by various routes (active smoking, passive smoking and air pollution) on specific health outcomes such as cardiovascular mortality, and found that while the doses are substantially lower with air pollution, the increased risk persists despite the route [15]. Researchers at University of California, Berkeley, specifically Muller and colleagues, have compared relative doses and health effects of air pollution to those of active smoking [21]. They report that one cigarette daily is approximately equivalent to a PM_{2.5} dose of 22 µg/m³. Given an average ambient PM_{2.5} exposure in the USA of 9 µg/m³ [22], this would be similar to 0.4 cigarettes daily. In Beijing, where air pollution levels are higher, Muller *et al.* propose that exposure can be similar to smoking four cigarettes per day and up to 1.5 cigarettes per hour on a day with particularly high levels of pollution. Therefore, depending on the location of a study, exposures may approach doses of pollutants inhaled during smoking. However, studies of the health implications of air pollution are difficult, not just because of often low levels of exposure in places like the USA, but also because of the ubiquity of exposure among cohorts living within a narrow geographical region. Combining temporal and special variation can improve the detection of associations especially in pregnancy studies where rapid fetal development may be a particularly sensitive time, but large sample sizes will still be required to detect differences in fetal growth and DNA methylation.

Studies detecting differences in mortality, lung cancer and cardiovascular disease with air pollution exposure have typically require sample sizes exceed-

ing 500,000 [16]. Similarly, studies of birthweight have also required population datasets to detect differences [8]. However, performing methylomic or other types of epigenomic assays, in cohorts that large would be cost prohibitive. Several strategies can be employed to deal with these limitations. First, many cohorts are already analyzing DNA methylation across the genome which can be analyzed with respect to air pollution exposure retrospectively [11]. Our colleagues and others have developed spatiotemporal models to estimate ambient levels of air pollution at the participants' address in many parts of the world [17–19] by partnering with NASA and other governmental agencies to obtain satellite data that can detect PM_{2.5} and PM₁₀ data. Because these modeling approaches only require information on the participants' location (i.e., participants home address, and if possible other relevant locations or time-activity patterns) to obtain their ambient air pollution levels, collaboration between perinatal researchers and air pollution modeling groups will make larger studies possible, ideally using consortia to perform meta-analyses. Another approach would be to learn from the cigarette smoking literature and create more targeted assays to use a gene-specific approach for regions of interest. Additionally, state laboratories analyze newborn blood for rare, treatable, metabolic conditions using dried blood spots [23]. A group in Southern California has analyzed these dried bloodspots for repetitive element DNA methylation and gene-specific

assays, but have not yet reported on sites associated with smoking [20].

“DNA methylation is likely just the tip of the epigenomic iceberg.”

DNA methylation is likely just the tip of the epigenomic iceberg. It is one of the most stable and easily measured of the epigenetic marks, but other mechanisms also regulate gene expression and may be both responsive to air pollution and responsible for poor fetal growth and programming its consequences. Collection of RNA for analysis of noncoding RNA, protein for histone modifications and plasma for exosomes/extracellular vesicles is the next frontier of understanding how the environment affects gene regulation. Ultimately, following cohorts for years to come will allow for understanding the persistence of these marks and their contribution to other long-term health consequences of environmental exposures and fetal growth disturbances.

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